

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### In re Application of:

Chen et al.

**Serial No.:** 10/701,939

Filed: November 4, 2003

For: CONTROLLED RELEASE DEPOT

**FORMULATIONS** 

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Examiner: Unknown

**Group Art Unit: 1615** 

Attorney Docket No.: ALZ5045R1

(3139-6269US)

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 8, 2004

Signature

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### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in

37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

### U.S. Patent Documents

U.S. Patent No.	<b>Publication Date</b>	<u>Patentee</u>
US - 3,797,492	03/19/1974	Place
US - 3,987,790	10/26/1976	Eckenhoff et al.
US - 4,008,719	02/22/1977	Theeuwes et al.
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US - 4,853,218	08/01/1989	Yim et al.
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US - 4,985,404	01/15/1991	Mitchell
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US - 5,085,866	02/04/1992	Cowsar et al.
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WO 98/27962	07/02/1998	Brodbeck
WO 02/00137 A1	01/03/2002	Shukla
WO 02/058670 A1	08/01/2002	Chasin

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Edgar R. Cataxinos Registration No. 39,931 Attorney for Applicants

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Date: April 8, 2004

ERC/dlm:dn

Enclosures: Form PTO-1449 or PTO/SB/08

Copy of documents cited

Document in ProLaw

Approved for use through 10/31/2002. OMB 0651-0031

Chen et al.

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First Named Inventor

Group Art Unit

Examiner Name

(use as many sheets as necessary)

Unknown ALZ5045R1 (3139-6269US) Sheet Attorney Docket Number

		Document Number	Publication Date	Name of Patentee or Applicant of	Day Colon II Was Di
Examiner Initials *	Cite No.	Cite	Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
		US-3,797,492	03/19/1974	Place	
300000	0	US- 3,987,790	10/26/1976	Eckenhoff et al.	
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No.I	No. Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> (if known)  No. MM-DD-YYYY  Nocument	• • • • • • • • • • • • • • • • • • • •	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
	WO 98/27962	07/02/1998	Brodbeck	No.			
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	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> (if known)  WO 98/27962  WO 02/00137 A1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> (if known)   Publication Date MM-DD-YYYY	No.   Country Code   - Number   - Kind Code   (if known)	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> (if known)  Publication Date MM-DD-YYYY  MM-DD-YYYY  MM-DD-YYYY  Publication Date Document  No 98/27962  O7/02/1998  Brodbeck  WO 02/00137 A1  O1/03/2002  Shukla		

Examiner Signature	Date Considered	

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Substitute	for form 1449A/PTO		•		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/701,939	
				Filing Date	November 4, 2003	
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				Group Art Unit	1615	
(use as many sheets as necessary)				Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	ALZ5045R1 (3139-6269US)	

	Document Number Publication Date Name of Patentee or Applicant of Pages Columns Lines Where Pale								
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	I	US- 5,310,865	05/10/1994	Enomoto et al.					
		US- 5,336,057	08/19/1994	Fukuda et al.					
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		US- 6,130,200	10/10/2000	Brodbeck et al.					
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Examiner Cite	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	Τ°		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			

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